




## Regulatory, Oversight & Compliance (ROC)

The ROC Core (Regulatory, Oversight & Compliance) consists of the Research Practice Manager, Assistant Research Practice Manager, and research staff including Clinical Research Coordinators and Research Program Leaders. In partnership with the faculty CRU Director, it is responsible for responsible and compliant conduct of research.

The Research Oversight and Compliance Core is responsible for reviewing new protocols and research data security plans; liaisons with ancillary departments (i.e. [Office of Research Contracts](#), [Research Support Offices](#), [Duke Office of Clinical Research](#), [Duke Health Information Security Office](#), [DUHS IRB](#), etc.) to facilitate required contracts and agreements in support of research protocols; is home to the IRB Preparation Laboratory; provides assistance with study implementation, including compliance education; and consists of two Research Practice Specialists and grant supported research personnel.

 <b>EXPERTS</b>	 <b>COLLABORATIONS</b>	 <b>RESOURCES</b>
<ul style="list-style-type: none"><li>• CRU Director</li><li>• Research Practice Manager &amp; Assistant Research Practice Manager</li><li>• Clinical Research Coordinators</li><li>• Research Program Leads</li><li>• Maestro/DEDUCE/REDCap Programmer</li></ul>	<ul style="list-style-type: none"><li>• IRB Guidance and Training</li><li>• Hiring and training research staff</li><li>• Responsible conduct of research and other regulatory and compliance support</li></ul>	<ul style="list-style-type: none"><li>• Training &amp; myRESEARCHhome and myRESEARCHpath</li><li>• Agreements</li><li>• Research Study Start Up</li><li>• IRB (IRIS) &amp; OnCore</li><li>• MAESTRO data pulls</li><li>• REDCap Builds</li><li>• Research Compliance Guidance</li></ul>

### ACTIVITIES

- IRB Process Support
- IRB Review
- Grant Supported Research Staff
- Education Support

### CONTACTS



**Sue Hunter**  
Research Practice Manager  
[sue.hunter@duke.edu](mailto:sue.hunter@duke.edu)



**Caroline McEachern**  
Assistant Research Practice Manager  
[caroline.mceachern@duke.edu](mailto:caroline.mceachern@duke.edu)